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961 U.S. PTO

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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No:27013/38150

**CONTINUING APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)**

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

J1046 U.S. PTO  
10/042526  
01/08/02

Sir:

This is a request under 37 CFR 1.53 for filing a

- ☒ continuation application.  
☐ divisional application.

**1. Particulars of Prior Application**

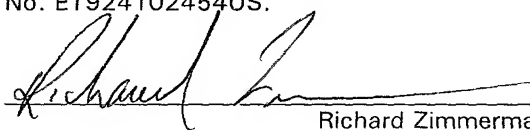
Application Serial No: 09/632,286  
Filed on: August 3, 2000  
Title: Papilloma Virus Capsomere Vaccine Formulations and  
Methods of Use  
Art Unit: 1648  
Examiner: Salimi, A.  
Prior Docket No.: 27013/36643

A petition for a three month extension of time for responding to the Office Action in the prior application is concurrently filed in the prior application.

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**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Continuing Application Transmittal Under 37 CFR 1.53(b) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **January 8, 2002**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. ET924102454US.

  
Richard Zimmermann

2. This request is filed by:

1. Full Name of Inventor	Family Name Gissman	First Given Name Lutz	Second Given Name
Residence & Citizenship	City Wiesloch	State or Foreign Country Germany	Country of Citizenship Germany
Post Office Address	Post Office Address Piroweeg 1	City Wiesloch	State & Zip Code/Country Germany

2. Full Name of Inventor	Family Name Müller	First Given Name Martin	Second Given Name
Residence & Citizenship	City Chicago	State or Foreign Country Illinois	Country of Citizenship Germany
Post Office Address	Post Office Address 1351 N. Hoyne	City Chicago	State & Zip Code/Country Illinois 60622

3. Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

- ☐ This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

3. **Amendments**

- ☐ Amend the specification by inserting before the first line the sentence:
- ☒ --This is a **continuation** of U.S. application Serial No. 09/632,286, filed August 3, 2000, which is pending, which is a continuation of U. S. application 08/944,368 filed October 6, 1997, which issued as U. S. Patent 6,228,368 on May 8, 2001.--
- ☐ Cancel claims in the prior application before calculating the filing fee.
- ☐ A Preliminary Amendment is enclosed.
- ☐ The filing fee is based upon entry of the foregoing amendment(s) (if any).

4. **Copy of Prior Application**

The enclosed is a copy of the prior complete application, including the specification (with claims), drawings, the oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application.

5. **Incorporation By Reference**

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under paragraph 4, above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. **Priority**

- ☐ Priority of application No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 USC 119.
- ☐ The certified copy(ies) was(were) filed in prior U.S. application Serial No. \_\_\_\_\_.
- ☐ The certified copy(ies) has(have) not been filed.

7. **Assignment**

- ☒ The prior application is assigned of record to Loyola University of Chicago, and has been recorded at Reel No. 9029, Frame No. 0268.

8. **Small Entity Status**

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ A small entity statement is(are) attached.

**9. Application to Be Published**

- ☒ Yes.
- ☐ No. A Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i) is attached.

**10. Fee Calculation**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370.00		\$740.00
TOTAL	16 -20	=	X 9 =	\$	X 18 =	\$0.00
INDEP.	2 - 3	=	X 42 =	\$	X 84 =	\$0.00
<input checked="" type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 140 =	\$	+ 280 =	\$280.00
Filing Fee:				\$	<b>OR</b>	\$1,020.00

**11. Method of Payment of Fees**

- ☐ Attached is a check in the amount of: \$
- ☐ Charge Deposit Account No. \_\_\_\_\_ in the amount of: \$ \_\_\_\_\_  
A copy of this Transmittal is enclosed.

**12. Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. \_\_\_\_\_. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

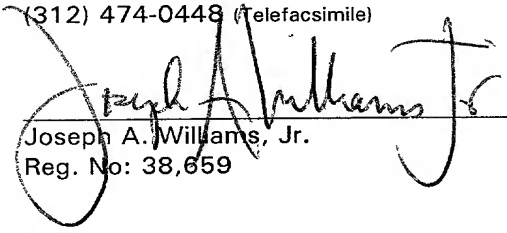
13. Correspondence Address

Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
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(312) 474-6300  
(312) 474-0448 (Telefacsimile)

By:

  
\_\_\_\_\_  
Joseph A. Williams, Jr.  
Reg. No: 38,659

January 8, 2002